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ATTORNEY DOCKET NO. FIRST NAMED INVENTOR SERIAL NUMBER FILING DATE 08/066,327 05/21/93 SMITH 148120CIP3FW EXAMINER HORLICK, K 18N1/0727 JOSEPH E. MUETH PAPER NUMBER ART UNIT 333 SOUTH GRAND AVENUE-37TH FLOOR LOS ANGELES, CA 90071-1599 1807 DATE MAILED: 07/27/93 This is a communication from the examiner in charge of your application. COMMISSIONER OF PATENTS AND TRADEMARKS This application has been examined Responsive to communication filed or This action is made final. A shortened statutory period for response to this action is set to expire. days from the date of this letter. Failure to respond within the period for response will cause the application to become abandoned. THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION: 2. Notice re Patent Drawing, PTO-948. 1. Notice of References Cited by Examiner, PTO-892. Notice of Art Cited by Applicant, PTO-1449. 4. Notice of Informal Patent Application, Form PTO-152. 5. \square Information on How to Effect Drawing Changes, PTO-1474. 6. Part II SUMMARY OF ACTION 36-38 1. Claims Of the above, claims are withdrawn from consideration. 2. Claims 3. Claims 36-38 5. Claims __ are subject to restriction or election requirement. 7. This application has been filed with informal drawings under 37 C.F.R. 1.85 which are acceptable for examination purposes. 9. \square The corrected or substitute drawings have been received on . . Under 37 C.F.R. 1.84 these drawings are acceptable. not acceptable (see explanation or Notice re Patent Drawing, PTO-948). 10. The proposed additional or substitute sheet(s) of drawings, filed on _ __ has (have) been 🔲 approved by the examiner. disapproved by the examiner (see explanation). 11. \square The proposed drawing correction, filed on $_$ has been approved. disapproved (see explanation). 12. Acknowledgment is made of the claim for priority under U.S.C. 119. The certified copy has \Box been received \Box not been received been filed in parent application, serial no. . : filed on 13. 🔲 Since this application appears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. 14. Other

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15. The following is a quotation of 35 U.S.C. § 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. § 103, the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 C.F.R. § 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of potential 35 U.S.C. § 102(f) or (g) prior art under 35 U.S.C. § 103.

Claims 15-22 and 36-38 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 31-35 and 39-44 of copending application Serial No. 07/898,019, as stated in the Office action mailed 11/23/92. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 15-22 and 36-38 are species claims under the genus of the claims of 07/898,019. It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to use four specific types of labels given

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similar claims reciting the use of at least one label, because doing so would have facilitated the analysis of DNA, which is well known to have four different types of nucleotide bases.

This is a *provisional* obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

- 16. Claims 36-38 are rejected under 35 U.S.C. § 103 as being unpatentable over Kaplan et al. and the admitted prior art methods of Maxim et al. and Sanger described in the specification (e.g. see page 3) in view of Khanna et al. and Ward et al. This rejection and the response to applicant's arguments filed 09/16/92 are present in the final Office action mailed 11/23/92. Further, the advisory action mailed 03/04/93 indicates that applicant's arguments in the paper filed 02/02/93 have been fully considered but do not overcome the rejection. No further amendments or arguments regarding said rejection have been entered in the instant application.
- 17. As noted in the advisory action mailed 03/04/93, claims 15-22 are allowable over the prior art and rejected only under obviousness-type double patenting. A properly filed Terminal Disclaimer would obviate this rejection.
- 18. This is a continuation of applicant's earlier application S.N. 07/660,160. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds or art of record in the next Office action if they had been entered in the earlier application. Accordingly, THIS ACTION IS MADE FINAL even though it is a first action in this case. See M.P.E.P. § 706.07(b). Applicant is

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reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dr. Kenneth Horlick whose telephone number is (703) 308-3905.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

20. Papers related to this application may be submitted to Group 1800 by facsimile transmission. Papers should be faxed to Group 1800 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center number is (703) 308-4227.

Kenneth R. Horlick, Ph.D. July 19, 1993

MARGARET PARR SUPERVISOR PATENT EXAMINER GROUP 1800

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